time. This behavior is consistent with the ultraviolet spectral observations noted below. Apparently the only effect of the base is to convert V to the anion VII which has the carbon-nitrogen double bond producing the absorption at 5.95  $\mu$ .

Spectrophotometric Variation with pH of the System VII  $\rightleftharpoons$  V  $\rightleftharpoons$  VI and Determination of the  $pK_a$  of the Hydrochloride VI.— Spectrophotometric  $pK_a$  determination was carried out by the method of Brown and Mihm<sup>14</sup> using the change in absorbance with pH of the 275 m $\mu$  maximum in water containing 28.5% (v./v.) ethanol. Absorbances were measured with a Beckman DU spectrophotometer and the reversibility of the acid-base equilibrium was checked with a Cary recording spectrophotometer. pH's of the buffer solutions were determined with a Beckman Zeromatic pH meter. Preliminary experiments with buffers revealed that below pH 3 no further decrease in absorbance at 275 m $\mu$  was detectable. Hence, protonation of V must be complete below this pH. Likewise, between pH 7 and 9 there was no change in absorbance, indicating the presence of the free base V

(14) H. C. Brown and X. R. Mihm, J. Am. Chem. Soc., 77, 1723 (1955).

in this range. At pH 10 two new peaks appeared at 240 and at 296 m $\mu$ , clearly demonstrating the formation of phenoxide ion, *i.e.*, species VII (this is consistent with the infrared spectrum of V in methanolic sodium methoxide). However, even in 0.1 N sodium hydroxide solution, absorbances of these new peaks were not stabilized, indicating incomplete conversion to VII in this medium. The following molar extinction coefficients at 275 m $\mu$  were observed: 2360 (0.1 N HCl), 2595 (acetate buffer, pH 4.75), and 2724 (phosphate buffer, pH 7.53). Appropriate calculations<sup>14</sup> led to a value of  $4.5 \pm 0.2$  for the p $K_a$  of VI, *i.e.*, VI  $\rightleftharpoons$  V. Because the conversion to VII was not complete even in 0.1 N sodium hydroxide, the p $K_a$  of V (V  $\rightleftharpoons$  VII) could not be determined. However, it must be greater than 12 (pH of 0.1 N NaOH is 12–13).

**Acknowledgments.**—We wish to thank Mr. Orville Kolsto, Mr. William Washburn, and Dr. Richard Mattoon and their associates, respectively, for the microanalyses, infrared spectra, and n.m.r. spectra, and Mrs. Taimi Anderson for the v.p.c. analyses.

[CONTRIBUTION FROM THE GENERAL ELECTRIC RESEARCH LABORATORY, SCHENECTADY, N. Y.]

# Intramolecular Interactions between Nonbonded Chromophores. The Spectra of Some Phenylsilanes and Siloxanes

## BY JOHN F. BROWN, JR., AND PAUL I. PRESCOTT

RECEIVED MAY 23, 1963

The ultraviolet spectra of the polyphenylated silanes and siloxanes, like those of the polyphenylated paraffins and the paracyclophanes, show considerable variations in the appearance of the 250–270 m $\mu$  band, particularly as regards the absolute and relative intensities of the different peaks in the vibrational structure. These variations were studied in detail, with particular emphasis on those in the spectra of the polycyclic phenylsilsesquioxanes, where the relative orientations of the benzenoid chromophores could be specified quite precisely. It was found that all the observed variations in peak heights could be related to variations in the absolute and relative intensities of the two overlapping systems which make up the observable <sup>1</sup>L<sub>b</sub> absorption. In the polyphenylsilsesquioxanes, these intensity variations in turn could be accounted for quantitatively in terms of two types of intramolecular electrostatic interactions, namely, induced dipole-dipole (or polarizability) interactions, which affect both the vibrationally induced and the substituent-induced components of intensity, and static dipole-induced dipole interactions (field effects), which affect only the substituent-induced component. The spectra of the diphenylsiloxanes were found to differ somewhat from those silanes and siloxanes carrying only one phenyl group per silicon, apparently as a result of weak bonding interactions between the two phenyls attached to the same silicon. Within the series of diphenylsiloxanes, however, the intensity variations were similar to those in the phenylsilsesquioxanes and could be related qualitatively to the same two types of intramolecular interactions between nonbouded phenyl chromophores.

## Introduction

The near-ultraviolet spectra of the polyphenylated silanes and siloxanes show noticeable variations from each other and from the analogous monophenylated species, particularly as regards the absolute and relative intensities of the vibrational peaks in the 250–270 m $\mu$  region.<sup>1–5</sup> Similar variations have long been known in the spectra of polyphenylated paraffins,<sup>6–8</sup> polyphenylated ethers,<sup>8</sup> and the higher paracyclophanes<sup>8,9</sup> and have usually been ascribed qualitatively to the existence of weak bonding interactions between the phenyl rings.<sup>8,10</sup>

(1) C. A. Burkhard and E. H. Winslow, J. Am. Chem. Soc., 72, 3276 (1950).

(2) H. Gilman and G. E. Dunn, *ibid.*, 72, 2178 (1950).

(3) E. A. Braude, J. Chem. Soc., 1068 (1952).

- (4) M. Horák, B. Schneider, and V. Bażant, Collection Czech. Chem. Commun. 24, 3381 (1959)
- (5) T. Uriu and T. Hakamada, Kogyo Kagaku Zasshi, 62, 1421 (1959).
- (6) W. R. Orndorff, R. C. Gibbs, S. A. McNulty, and C. V. Shapiro,
- J. Am. Chem. Soc., 49, 1541 (1927).
- (7) A. E. Gillam and D. H. Hey, J. Chem. Soc., 1170 (1939).
- (8) D. J. Cram, N. L. Allinger, and H. Steinberg, J. Am. Chem. Soc., 76, 6132 (1954).
- (9) D. J. Cram and M. F. Antar, ibid., 80, 3103 (1958).

(10) A. E. Gillam and E. S. Stern, "An Introducton to Electronic Absorption Spectroscopy." Edward Arnold, Ltd., London, 1954, p. 120.

The present study was undertaken in order to obtain more detailed characterization of these spectral variations, and to attempt their interpretation.

At the outset, we recognized that part of the spectral variations must arise from induced dipole–dipole, or polarizability, interactions between proximate but nonbonded chromophores in the same molecule. These are the interactions that may cause wave length shifts (bathochromism or hypsochromism) in dye aggregates and molecular crystals<sup>11,12</sup> and intensity changes (hypochromism or hyperchromism) in these species, in double chain polynucleotides,<sup>13</sup> and in helical polypeptides.<sup>14</sup> Detailed expressions for calculating these interactions have recently been reported.<sup>13</sup> Accordingly, we decided to carry out such calculations on our polyphenylated species in order to find out what portion of the observed spectral variations they could account for.

(11) D. P. Craig, J. Chem. Soc., 2302 (1955).

- (12) M. Kasha, Rev. Mod. Phys., 31, 162 (1959).
- (13) I. Tinoco, J. Am. Chem. Soc., 82, 4785 (1960), and correction, *ibid.*, 83, 5047 (1961). Corrected equation independently derived by W. Rhodes, *ibid.*, 83, 3609 (1961).
- (14) 1. Tinoco, A. Halpern, and W. T. Simpson, "Polyamino Acids, Polypeptides, and Proteins," M. A. Stehman, Ed., University of Wisconsin Press, Madison, Wis., 1962, p. 147.

The calculation of polarizability interactions requires that the system be specified in detail as to the relative positions and orientations of the interacting chromophores and also as to the wave lengths, intensities, and transition vector orientations of all bands near to that being observed.13 This requirement indicated that the calculations would be most meaningful in the case of the polyphenylsilsesquioxanes.15 In these molecules the relatively rigid fused ring structures allow the mean relative positions of the phenyl chromophores to be estimated fairly precisely, steric compressions and conformation-sensitive hyperconjugative effects which might distort the spectral characteristics of the individual chromophores are absent, and the chromophores belong to a group (monosubstituted benzenes) for which reliable spectral data and interpretations exist over a wide range of wave lengths.

There remains, however, one peculiarity of the 250-280 m $\mu$  band system in C<sub>6</sub>H<sub>5</sub>X species which must be dealt with before quantitative interpretations of intensity variations can be made. This is the longknown fact that the observed absorption arises from two different series of bands.<sup>16,17</sup> These are the vibrationally-induced band series, having frequencies  $\nu_0 + \nu_{e2g} + n\nu_{vib}$ , and the substituent-induced series, having frequencies  $\nu_0 + n\nu_{\rm vib}$ . The reasons for the two series are that the  ${}^{1}L_{b}$  benzenoid transition at  $\nu_{0}$  is symmetry forbidden, becoming active only as the 6fold symmetry of the ring orbitals is distorted, and that suitable distortions are produced both by e<sub>2g</sub> ring vibrations and also by ring substitution. Thus, any observed absorption in the system, e.g., the total integrated absorption intensity indicated by the oscillator strength, f, must be regarded as made up of two components: a vibrationally induced intensity component  $(e.g., f_v)$  and a substituent-induced component  $(e.g., f_v)$  $f_q$ ). Since these two intensity components respond in different ways to structural variations, they must be separated and dealt with individually in our interpretations.

In the past, the procedure for resolving a  $C_6H_5X f$ value into  $f_v$  and  $f_q$  has been to interpolate between the f values of  $C_6H_6$  and sym- $C_6H_3X_3$  (in both of which  $f_q$ is zero) in order to estimate the  $f_v$  and then to subtract this from the f to obtain  $f_q$ .<sup>17</sup> This procedure is inherently inapplicable to species of the type  $(C_6H_5X)_x$ . The alternative procedure used in the present study (vide infra) was based upon an analysis of the intensity distributions within individual spectra. This not only overcame the limitations of the older procedure, but also, at least in the case of the monomeric and polymeric monophenylsilicon compounds, provided considerably more precise values of  $f_v$  and  $f_q$  as well.

## Experimental and Calculations

urements of comparable precision; however, the data recorded are all believed to be reliable to  $0.5 \text{ m}\mu$ .

The compounds studied in this investigation were mostly crystalline solids; these were purified by recrystallization before use. The liquid species were purified by vapor phase chromatography. The solvent used in all the work reported was spectrograde chloroform, which contains a trace of ethanol as a preservative. The use of pure (ethanol-free) chloroform and of tetrahydrofuran was examined briefly and then abandoned because of the peroxidation problem. However, no indications were found that the spectra determined in these solvents differed from those determined in spectrograde chloroform; the small shifts in wavelength and relative peak heights recorded below for the compounds (PhSiO $_{3/2}$ )<sub>8</sub>, (PhSiO $_{3/2}$ )<sub>12</sub>, and (PhSiO $_{3/2}$ )<sub>1300</sub> were all observed in tetrahydrofuran solutions as well.

The data recorded below are  $\lambda_0$ , the wave length in m $\mu$  of the O-O transition in the <sup>1</sup>L<sub>b</sub> system; e-values, the molar extinction coefficients ( $M^{-1}$  cm.<sup>-1</sup>) per phenyl group at  $\lambda_0$  and at the next three prominent maxima, which are displaced about 6, 11, and 17 m $\mu$  from  $\lambda_0^{16}$ ; and f, the total oscillator strength of the <sup>1</sup>L<sub>b</sub> transition. This last was calculated from theu sual formula<sup>11,14</sup>

$$f = \frac{2303mc^2}{\pi e^2 N} \int \epsilon d\nu = 4.32 \times 10^{-9} \int \epsilon d\nu \quad (1)$$

where  $\nu$  is the frequency in cm.<sup>-1</sup>. The problem of overlap between the 270 m $\mu$  (<sup>1</sup>L<sub>b</sub>) system and the 210 m $\mu$  (<sup>1</sup>L<sub>a</sub>) system was dealt with by arbitrarily assuming that the portion of the 270 m $\mu$ system that lay below the wave length of minimum total absorption was equal to the portion of the 210 m $\mu$  system that lay above it; *i.e.*, the limit of integration on the short wave length end of the <sup>1</sup>L<sub>b</sub> system was taken as the absorption minimum. This assumption may conceivably have introduced 1–2% corrors in the recorded *f* values, particularly those for the Ph<sub>2</sub>SiX<sub>2</sub> compounds, but probably did not alter the relative values of *f* within either the PhSiX<sub>3</sub> or the Ph<sub>2</sub>SiX<sub>2</sub> series.

The data recorded were: Phenyltrimethylsilane:  $\lambda_0$ , 269.5; *ϵ*-values, 115, 195, 227, 163; *f*, 28.5 × 10<sup>-4</sup>. 1,1,1,3,5,5,5-Heptamethyl-3-phenyltrisiloxane:  $\lambda_0$ , 270.0;  $\epsilon$ -values, 193, 274, 267, 184; f,  $36.5 \times 10^{-4}$ . Octaphenylpentacyclo[9.5.1.1<sup>3,9</sup>.1<sup>5,17</sup>.1<sup>7,13</sup>]octasiloxane ("phenyl-T<sub>8</sub>, m. 500°"<sup>15</sup>):  $\lambda_0$ , 269.5;  $\epsilon$ -values, 335, 423, 336, 216; f, 50.3  $\times$  10<sup>-4</sup>. Decaphenylhexacyclo[9.9.1.1<sup>3,9</sup>- $.1^{5,17}$ ,  $1^{7,15}$ ,  $1^{13,19}$ ] decasiloxane ("phenyl-T<sub>10</sub>, m. 418"" ( $\lambda_0$ ,  $\lambda_0$ , 269.7;  $\epsilon$ -values, 325, 412, 333, 213; f, 49.2  $\times$  10<sup>-4</sup>. Noncrystalline isomer of phenyl-T12,15 presumably largely dodecaphenylheptacyclo [11.11.1.1<sup>3,11</sup>.1<sup>5,21</sup>.1<sup>7,19</sup>.1<sup>9,17</sup>.1<sup>17,23</sup>] do de casiloxane:  $\lambda_0$ , 270.0;  $\epsilon$ -values, 305, 388, 316, 207; f, 47.7  $\times$  10<sup>-4</sup>. Dodecaphenylheptacyclo [13.9.1.1<sup>3,18</sup>.1<sup>5,11</sup>.1<sup>7,21</sup>.1<sup>9,19</sup>.1<sup>17,23</sup>] dodecasiloxane ("phenyl-T<sub>12</sub>, m.  $385^{\circ}$ "<sup>16</sup>):  $\lambda_0$ , 270.0;  $\epsilon$ -values, 301, 385, 317, 206; f, 46.7  $\times$  10<sup>-4</sup>. Phenylsilsesquioxane "prepolymer" fraction,<sup>15</sup>  $\overline{P}_n$  24, approximately (Ma)<sub>2</sub> according to the proposed scheme19 for describing partially ordered network polymers:  $\lambda_0$ , 270.0;  $\epsilon$ -values, 324, 407, 327, 209; f, 48.3  $\times$  10<sup>-4</sup>. Phenylsilsesquioxane "prepolymer" fraction,<sup>15</sup>  $P_n$  84, approximately MaDa<sub>30</sub>Ma in network polymer description:  $\lambda_0$ , 270.0;  $\epsilon\text{-values},$  329, 409, 323, 205; f, 48.6  $\times$   $10^{-4}.$  Phenylsilsesquioxane high polymer,<sup>19,20</sup> [ $\eta$ ] 3.25 dl./g.,  $\bar{P}_n$  1300, approximately Ma<sub>3</sub>TaDa<sub>530</sub> in network polymer description:  $\lambda_0$ , 270.0;  $\epsilon$ -values, 337, 415, 323, 204; f, 49.3  $\times$  10<sup>-4</sup>. 5-Phenyltriptych-siloxazolidine<sup>21</sup>:  $\lambda_0$ , 269.0;  $\epsilon$ -values, 126, 214, 245, 176; f, 31.3  $\times$  10<sup>-4</sup>. 3,3-Diphenyl-1,1,1,5,5,5-hexamethyltrisiloxane:  $\lambda_0$ , 270.0;  $\epsilon$ values, 234, 321, 303, 212; f, 42.5  $\times$  10<sup>-4</sup>. 1,1,1,7,7,7-Hexamethyl-3,3,5,5-tetraphenyltetrasiloxane:  $\lambda_0$ , 270.0;  $\epsilon$ -values, 242, 325, 304, 212; f, 42.9  $\times$  10<sup>-4</sup>. 1,1,1,9,9,9-Hexamethyl-3,3,5,5,7,7-hexaphenylpentasiloxane:  $\lambda_0$ , 270.0;  $\epsilon$ -values, 258, 340, 310, 213; f, 44.0  $\times$  10<sup>-4</sup>. Linear high copolymers of diphenylsiloxane and dimethylsiloxane units containing 67-75

(21) C. L. Frye, G. E Vogel, and J. A. Hall, ibid., 83, 996 (1961).

**Measurements.**—All spectra were measured with a Cary Model 14-MS recording spectrometer in a room held at  $25 \pm 0.5^{\circ}$ . It was established that the response of this instrument varied linearly with phenylsilsesquioxane concentration, and thenceforth all spectra were measured on solutions containing  $2 \times 10^{-3}$  equiv. per 1. of phenyl groups. Except as noted, all spectra were measured 3-6 times on individually prepared solutions in order to make certain that the recorded extinction coefficients could be duplicated to  $\pm 0.5\%$ . No attempt was made to obtain wave length meas-

<sup>(15)</sup> J. F. Brown, Jr., L. H. Vogt, Jr., and P. I. Prescott, J. Am. Chem

Soc., 86, 1120 (1964).

<sup>(16)</sup> A. L. Sklar, J. Chem. Phys., 10, 135 (1942).

<sup>(17)</sup> J. Petruska, ibid., 34, 1120 (1961).

<sup>(18)</sup> Our resolutions of f values into  $f_v$  values and  $f_q$  values required sets of  $\epsilon$ -values measured at fixed frequency displacements from  $\nu_0$ . In principle,  $\epsilon_{\max}$  values need not represent such sets. We found, however, that the tops of the four peaks from which our  $\epsilon_{\max}$  values were taken were wider than the variations in the displacements of any of the last three from the first. This meant that our  $\epsilon_{\max}$  values were within experimental error of being equivalent to fixed displacement sets. Since  $\epsilon_{\max}$  values are both more easily measured and also more commonly used in other connections, it seemed convenient to use them exclusively in this study.

<sup>(19)</sup> J. F. Brown, Jr., J. Polymer Sci., 1C, 83 (1963).

<sup>(20)</sup> J. F. Brown, Jr., L. H. Vogt, Jr., A. Katchman, J. W. Eustance, K. M. Kiser, and K. W. Krantz, J. Am. Chem. Soc., 82, 6194 (1960).



Fig. 1.—Plots of relative intensity,  $\epsilon_i/(C_{vi} + C_{qi})$ , vs. relative contribution of substituent-induced intensity,  $C_{qi}/(C_{vi} + C_{qi})$ , for some monophenylsilicon compounds.

mole % diphenylsiloxane (average of three closely agreeing sets of data):  $\lambda_0$ , 270.0;  $\epsilon$ -values, 280, 361, 316, 213; f, 46.1 × 10<sup>-4</sup>. 2,2-Dimethyl-4,4,6,6-tetraphenylcyclotrisiloxane:  $\lambda_0$ , 270.5;  $\epsilon$ -values, 309, 401, 348, 240; f, 51.2 × 10<sup>-4</sup>. Hexaphenylcyclotrisiloxane:  $\lambda_0$ , 271.0;  $\epsilon$  values, 316, 404, 344, 235; f, 51.4 × 10<sup>-4</sup>. 2,2-Dimethyl-4,4,6,6,8,8-hexaphenyl-cyclotetrasiloxane:  $\lambda_0$ , 270.5;  $\epsilon$ -values, 275, 364, 325, 223; f, 46.4 × 10<sup>-4</sup>. Octaphenyl-cyclotetrasiloxane:  $\lambda_0$ , 271.0;  $\epsilon$ -values, 282, 368, 326, 224; f, 47.9 × 10<sup>-4</sup>. 2,2,4,4,6,6-Hexaphenyl-8,8,10,10-tetramethylcyclopentasiloxane:  $\lambda_0$ , 270.5;  $\epsilon$ -values, 260, 343, 309, 213; f, 44.5 × 10<sup>-4</sup>.

**Resolution of**  $f_v$  and  $f_q$ .—The procedure for resolving f into  $f_v$ and  $f_q$  was based upon the premise that within a series of closely related  $C_6H_5X$  species the distributions of intensity among the different vibrational levels should remain constant within either the vibrationally induced or the substituent-induced systems. Thus, the observed intensity at any point in the spectrum should always be the sum of a fixed proportion of the total vibrationally induced intensity and another fixed proportion of the total substituent-induced intensity, and these two intensity components should be calculable from observations of relative intensities at different points in the spectrum.<sup>18</sup>

In making such calculations of  $f_v$  and  $f_q$  from the  $\epsilon$ 's and f's, it was found convenient to introduce the quantities V and Q to represent the total contributions of vibrationally induced and substituent-induced intensity to the measured  $\epsilon$ -values (*i.e.*, for the spectrum of compound j measured at points i,  $V_j + Q_j = \sum_i \epsilon_{ij}$ ); and also the coefficients  $C_{vi}$  and  $C_{qi}$  representing the frac-

tion of the total V or Q operating at point i. Thus, our basic premise was that relations

$$\epsilon_{ij} = C_{vi}V_j + C_{qi}Q_j \qquad (2)$$

where all the  $C_{\rm vi}$  and  $C_{\rm qi}$  values are constants, should describe all of the observed extinction coefficients.

In order to solve eq. 2 for the  $C_{vi}$  and  $C_{qi}$  values, which is necessary in order to determine the V and Q values, and thence the  $f_v$  and  $f_q$  values, it was necessary to have two more pieces of information than could be provided by measurements of  $\epsilon_{ij}$ . Those used were: First,  $C_v$  (270 m $\mu$ ) was assumed to be 0.17  $C_v$ (265). The basis for this was that the vibrationally induced component of intensity is known to be inactive in the O–O transition itself,<sup>17</sup> and hence the only intensity observable in this component at  $\lambda_0$  must be that resulting from the edge of the first allowed transition 4 m $\mu$  away. Examination of the band contours in several benzenoid spectra showed that the intensity at a point 4 m $\mu$  above the first allowed band was generally quite close to 0.17 of that band intensity. The precision of this figure is not very critical, since  $C_v$  (270 m $\mu$ ) is only about 0.03. Second, it was as-



Fig. 2.—Plots of relative intensity,  $\epsilon_i/(C_{vi} + C_{qi})$ , vs. relative contribution of substituent-induced intensity,  $C_{qi}/(C_{vi} + C_{qi})$ , for some diphenylsilicon compounds.

sumed that the  $V_i$  values were approximately equal in certain compounds where there was no reason to expect variations due to hypochromism or change in the substituent type. The bases for this assumption were, first, that normally there is very little total variation in  $f_v$  anyway, and, second, that examination of the data showed groups of compounds in both the PhSiX<sub>3</sub> and Ph<sub>2</sub>-SiX<sub>2</sub> series that had the same V within experimental error; *i.e.*, by subtracting the e-values of one compound from the corresponding ones of another (thus eliminating the  $C_{vi}V$  terms in eq. 2) sets of  $C_{qi}$  values resulted which were the same for all pairs in the group.

The solutions for the  $C_{vi}$  and  $C_{qi}$  values calculated from the measured extinction coefficients and from these two assumptions are shown in Table I.

## TABLE I

Distributions of Intensity within the Vibrationally- and Substituent-Induced Components of the  $^1\mathrm{L}_b$  Transition in Phenylsilicon Compounds

Point of					
measurement	PhSiX3 species		Ph2SiX2 species		
$(peak), m\mu$	Cv	Cq	Cv	Cq	
270	0.038	0.363	0.027	0.286	
264	. 226	. 369	.160	. 343	
259	. 412	.182	. 493	.217	
253	. 324	. 086	. 320	.154	
Total	1.000V	1.000Q	1.000V	1.000Q	
Ratio $f_v/V$	4.39	$\times 10^{-6}$	4.2 $ imes$	( 10 -6	
Ratio $f_q/Q$	3.555	$\times 10^{-6}$	$3.85 \times$	< 10 <sup>-6</sup>	

The validity of these values, and of the basic premise that a single set of intensity distribution coefficients could relate all of of the variations in the  $\epsilon$ 's to variations in V and Q, was checked by plotting relative intensities,  $\epsilon_{ij}/(C_{vi} + C_{qi})$ , against relative contributions of substituent-induced intensity,  $(C_{\rm qi}/C_{\rm vi} + C_{\rm qi})$ . Examples of such plots are shown in Fig. 1 and 2. The expected linearity was obtained in almost all cases. The exceptions were, first, one sample of phenylsilsesquioxane high polymer where the deviation was eventually traced to the presence of traces of biphenyl-type impurities and, second, the diphenyltrisiloxane,  $Me_3SiO(Ph_2SiO)SiMe_3$ , where the curvature was sufficient to indicate that the  $C_{vi}$  and  $C_{qi}$  values were appreciably different from those in the other diphenylsiloxanes. The linear pentasiloxane  $Me_{3}SiO(Ph_{2}SiO)_{3}SiMe_{3}$  gave a normal fit, but the tetrasiloxane Me<sub>3</sub>SiO(Ph<sub>2</sub>SiO)<sub>2</sub>SiMe<sub>3</sub> was intermediate between the clearly deviant trisiloxane and the pentasiloxane. It was not possible to solve for the  $C_{vi}$  and  $C_{qi}$  values in these two deviant diphenylsiloxanes; however, the values of V determined from the best lines through the points were the same as those for most of the other diphenylsiloxanes, indicating that the  $f_v$  values were probably unaffected.

From these plots of  $\epsilon_{ij}/(C_{vi} + C_{qi})$  vs.  $C_{qi}/(C_{vi} + C_{qi})$ ,  $V_j$ and  $Q_j$  were determined as the intercepts at  $C_{qi}/(C_{vi} + C_{qi}) = 0$ and 1, respectively. The ratios  $f_v/V$  and  $f_q/Q$  were then calculated from the relation

$$f_{j} = f_{vj} + f_{qj} = (f_{v}/V)V_{j} + (f_{q}/Q)Q_{j}$$
 (3)

by substituting in values of f, V, and Q for various pairs of compounds. The solutions for  $f_v/V$  and  $f_q/Q$  (Table I) went very smoothly in the case of the PhSiX3 species; the ratios were sharply defined by the data, and the resulting values of  $f_{vi}$  and  $f_{qi}$  always added up to the observed  $f_i$  within 1%. (The difference, if any, was split proportionately between the  $f_v$  and  $f_q$  in determining the values listed in Table II, so that these always add up to exactly the f values tabulated earlier.) The solutions for  $f_v/V$  and  $f_o/Q$ did not go nearly as well for the Ph2SiX2 species; because of the smaller range in the  $Q_i/V_i$  (or  $f_q/f_v$ ) ratios, and the greater experimental uncertainty in the f values (mainly due to greater overlap with the  ${}^{1}L_{a}$  band), these ratios (Table I) were less sharply defined. The sums of the  $f_v$  and  $f_q$  values calculated from the ratios and the V and Q values often missed the observed f by 1-2%; this deviation, along with the  $f_v$  and  $f_q$  values, is shown in Table V.

#### Table II

Wave Lengths and Intensities (per Phenyl Group) of  $^1L_b$  Transitions in Monophenylsilanes and Siloxanes in Chloroform at  $25^\circ$ 

Compound	$\lambda_0, m\mu$	$f_{\rm v} \times 10^4$	$f_{\rm q}   imes  10^4$
Ph <b>S</b> iMe₃	269.5	18.9	9.6
$PhSiMe(OSiMe_3)_2$	270.0	19.3	17.2
PhSi(OSiMe <sub>3</sub> ) <sub>3</sub>	269.5	18.9	19.3
$(PhSiO_{3/2})_{8}, m. 500^{\circ}$	269.5	19.0	31.2
(PhSiO <sub>3/2</sub> ) <sub>10</sub> , m. 418°	$269.7^d$	18.9	30,3
(PhSiO <sub>3/2</sub> ) <sub>12</sub> , noncryst. <sup>a</sup>	270.0	18.8	28.5
$(PhSiO_{3/2})_{12}, m. 385^{\circ}$	270.0	18.7	28.0
$(\mathrm{PhSiO}_{3/2})_n, \ \overline{n} = 24^b$	270.0	18.4	29.9
$(PhSiO_{3/2})_n, \bar{n} = 84^b$	270.0	17.8	30.8
$(PhSiO_{3/2})_n, \bar{n} = 1300^{\circ}$	270.0	17.4	31.9
$PhSi(OCH_2CH_2)_3N^a$	269.0	20.6	10.7

<sup>*a*</sup> Single value determination. <sup>*b*</sup> "Prepolymer" fraction, further identified in Experimental section. <sup>*c*</sup> Double chain ("ladder") polymer. <sup>*d*</sup> Value left unrounded in order to avoid indicating a discontinuity in the wave length progression along the series.

**Calculation of** q.—The transition-moment parameter q, which measures the displacement of charge from the substituent to the ring during the transition, was calculated according to the formula<sup>17</sup>

$$q = \left(\frac{3hf_{\rm q}}{8\pi^2 m c \nu_0}\right)^{1/2} = (9.22 \times 10^{-12} f_{\rm q} \lambda_0)^{1/2} \quad (4)$$

where  $\lambda_0$  is given in cm. In determining the sign of q for the  $-\text{SiMe}_3$  group, use was made of the report that the two strongest bands in anisole are both reduced about 20% upon addition of a p-SiMe $_3$  substituent.<sup>22</sup> Since the q for methoxy is  $+21 \ (\times 10^{10} \text{ cm.})$ ,<sup>17</sup> this indicated a value of roughly -3 for  $-\text{SiMe}_3$ , showing that the sign of the more precise value,  $\pm 4.9$ , determined from eq. 4 must be negative. Since electron-withdrawing substituents on silicon should make q more negative,<sup>17</sup> it was evident that the signs of the larger q values calculated for the siloxanes should be negative as well. The sign was also presumed to be negative for the triptych-siloxazolidine substituent, since the absolute value of the q was about the same as for  $-\text{SiMe}_3$ , and the two substituents are known to be the same in ground-state electronegativity.<sup>21</sup>

**Calculation of Polarizability Interactions.**—The effects of polarizability interactions upon the absorption intensities  $f_v$  and  $f_q$  were calculated from Tinoco's equation 1.<sup>13</sup> For <sup>1</sup>L<sub>b</sub> bands in  $(C_{6}H_{6}X)_{x}$  species, these effects are produced by interactions between the dipoles of the <sup>1</sup>L<sub>b</sub> system components in one ring and those of <sup>1</sup>L<sub>a</sub> and <sup>1</sup>B polarizations in other rings. Since these last are both doubly degenerate in the plane of the <sup>1</sup>L<sub>b</sub> transition directed in the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the <sup>1</sup>L<sub>b</sub> transition directed by the same way geometrically to the same way geometrically to the same way geometrically to the same way geometrically the same way geometrically to the same way geometrically t

poles, and a convenient regrouping of the terms in the equation becomes possible

 $F_{\text{oa}}/f_{\text{oa}} = 1 - \frac{C}{N} \sum_{i=1}^{N} \sum_{j\neq i}^{N} G_{ij} \mathbf{e}_i \cdot \mathbf{e}_j$ 

where

$$C = \frac{3e^2 \lambda_{\text{oa}}^2}{8\pi^2 m c^2} \left[ 4 \sum_{a' \neq a} \frac{f_{\text{oa}} \cdot \lambda_{\text{oa}'}^2}{\lambda_{\text{oa}}^2 - \lambda_{\text{oa}'}^2} \right]$$
(6)

$$G_{ij} = \left[ \mathbf{e}_{i} \cdot \mathbf{e}_{j} - \frac{3(\mathbf{e}_{i} \cdot \mathbf{r}_{ij})(\mathbf{e}_{j} \cdot \mathbf{r}_{ij})}{r_{ij}^{2}} \right] \frac{1}{r_{ij}^{3}} \quad (7)$$

In these expessions,  $F_{oa}$  and  $f_{oa}$  represent the oscillator strengths of one component of the  ${}^{1}L_{b}$  transition per phenyl group in the polymer and monomer, respectively; the  $\lambda_{oa}$  and  $f_{oa}$  represent the wave lengths and oscillator strengths of other nearby benzenoid absorption bands;  $e_{i}$  and  $e_{j}$  are unit vectors in the directions of the transition dipoles in phenyl groups i and j;  $r_{ij}$  is the distance between the centers of the dipoles; the other terms have their usual significance.<sup>13</sup> In essence, the terms C and  $\Sigma G_{ij}$  in eq. 5 respectively group together the dipolar and the geometric factors that determine the magnitude of the hypochromic interaction.

The numerical values substituted in eq. 6 were:  $\lambda_{oa}$ , the center of the  ${}^{1}L_{b}$  system, 2630 Å.;  $\lambda_{oa'}$ , the center of the  ${}^{1}L_{a}$  system, 2100 Å.;  $\lambda_{oa''}$ j the center of the <sup>1</sup>B system, 1900 Å.;  $f_{oa'}$ ,  $1/_2$  × 0.14 along each of two mutually perpendicular axes;  $f_{\rm oa}$ ",  $^1/_2$  $\times$  0.80 along each of two mutually perpendicular axes. The values of  $\lambda_{oa'}$  and  $\lambda_{va''}$  were estimated from their values in benzene<sup>23</sup> and the generalization that frequency shifts for the <sup>1</sup>L<sub>a</sub> and <sup>1</sup>B systems in substituted benzenes are normally about three times as large as those in the <sup>1</sup>L<sub>b</sub> system; this assumption is supported by observation in the case of the 210 mµ band in PhSiMe<sub>3</sub>.<sup>3</sup> The values of  $f_{oa}$ ' and  $f_{oa}$ '' represent average values for monosubstituted benzenes; the intensities of these transitions in substituted benzenes are known to vary very little from those in benzene itself.<sup>17</sup> These substitutions allowed C to be calculated as  $18.6 \times 10^{-24}$  cm.<sup>3</sup>. This value was used without rounding in the calculations described below; however, the uncertainties in the far-ultraviolet  $\lambda$  and f values probably limit its reliability to  $\pm 10\%$ . This value of C, incidentally, should be about the same for the polyphenylated hydrocarbons and other  $(C_6H_5X)_x$  species where X has only a modest conjugative ability.

In calculating the geometrical factors by eq. 7, it was assumed that the transition dipoles for 1La, 1B, and the vibrationally-induced component of <sup>1</sup>L<sub>b</sub> were centered at the center of the ring. and that each of these doubly degenerate transitions could be represented by two perpendicular dipoles lying in the plane of the ring. The transition dipole for the substituent-induced component of  ${}^{1}L_{b}$  was assumed to be centered at the ring carbon bearing the silicon and directed along the C-Si axis; the 1La and 1B dipoles in other rings that interact with this dipole were assumed to lie as nearly parallel with this dipole as the orientation of their rings would allow. In calculating the distances and angles, it was assumed that the polycyclic silsesquioxanes in solution would adopt a conformation in which all  $\angle$  s OSiO and OSiC were 109.5°; all ∠s SiOSi were equal; all phenyl rings were regular plane hexagons; and the interatomic distances were Si-O, 1.62 Å.; Si-C, 1.88 Å.; and C-C, 1.40 Å. Typical geometrical parameters calculated were:  $(PhSiO_{3/2})_8$ : silicon atoms arranged as at the vertices of a cube<sup>15</sup> with phenyls projecting out along the diagonals; ∠sSiOSi, 148.5°; ∠s between neighboring Si-Ph axes, 70.5°; distance between centers of neighboring Ph's, 6.96 Å. (PhSiO<sub>3/2</sub>)<sub>10</sub>: Si's arranged as at vertices of a pentagonal prism<sup>15</sup> having squares and regular pentagons as faces;  $\angle$  SiOSi, 154°;  $\angle$ s between neighboring Ph-Si's, 60° (2), 65° (1); distances between centers of nearest phenyls, 6.49 Å. (2), 6.74 (1). (Ph-SiO<sub>3/2</sub>)12: Si's arranged as at vertices of a hexagonal prism<sup>15</sup> having squares and regular hexagons as faces;  $\angle$  SiOSi, 154°;  $\angle$ s between neighboring Ph–Si's, 50° (2), 65° (1); distances between centers of nearest phenyls, 5.98 Å. (2), 6.74 (1). Phenylsilsesquioxane "ladder" polymer: Si's arranged as in cis-syndiotactic double chain,<sup>19,20</sup> or as in squares joined together at 114° angles;  $\angle$ s SiOSi, 154.5°;  $\angle$ s between neighboring Ph-Si's, 115.5° (2), 64.5° (1); distances between centers of phenyls on nearest Si's,

(5)

<sup>(22)</sup> C. Eaborn, J. Chem. Soc., 3748 (1953).

<sup>(23)</sup> J. R. Platt and H. B. Klevens, Chem. Rev., 41, 301 (1947).



Fig. 3.—Ultraviolet spectra of  $(PhSiO_{3/2})_8$ , upper curve, and  $PhSiMe_3$ , lower curve.

7.76 Å. (2), 6.70 (1). Most of the hypochromism, however, results from interactions between phenyls on second nearest neighbors on the same chain. For these,  $\angle s$  between Ph–Si's, 0°; distance between Ph's, 5.38 Å.

The  $G_{ij}\mathbf{e}_i\cdot\mathbf{e}_j$  terms were calculated for all possible interactions in the (PhSiO<sub>3/2</sub>)<sub>8-12</sub> cage species; for the double chain polymer, the interactions were calculated for the 17 nearest neighbors, and the small additional effect of more distance chromophores then extrapolated from the trend in these values. The calculations of  $G_{ij}\mathbf{e}_i\cdot\mathbf{e}_j$  were first made for idealized models of the polyphenylsilsesquioxanes in which all of the benzene rings lay parallel to the "rungs" of the "ladder" structure (*e.g.*, parallel to the major axis of symmetry in the prismatic cage species).<sup>24</sup> These cal-

culated values of  $(1/N) \sum_{i=1}^{N} \sum_{j \neq i}^{N} G_{ij} \mathbf{e}_i \cdot \mathbf{e}_j$  for the octainer, decamer,

dodecamer, and ladder structure, respectively, were 0.01, 0.12, 0.25, and 0.61  $\times$  10<sup>22</sup> cm.<sup>-3</sup> for the vibrationally-induced transition and  $-0.04, +0.12, 0.25, and 0.48 \times 10^{22}$  cm.<sup>-3</sup> for the substituent-induced transition. Next, the effects of the extreme permissible rotations about the Si-Ph bonds were estimated ap-

proximately. These effects were found to be quite important in the vibrationally-induced system; assuming that the actual values of  $\Sigma G_{ij}\mathbf{e}_i\cdot\mathbf{e}_j$  lay midway between those of the idealized and the maximally rotated models, it was estimated that a quarter of the hypochromic effect expected for the idealized ladder model and a half that expected for the idealized cage species were lost owing to the randomization of the  $f_v$  dipoles lying perpendicular to the Si-Ph axes. On the other hand, rotation about the Si-Ph axes was found to have very little effect upon the  $\Sigma G_{ij}\mathbf{e}\cdot\mathbf{e}_j$  values for the substituent-induced intensity components, and no adjustments were made to the values derived from the idealized model.

Calculation of Field Effects.—In order to calculate the field along the  $C_6H_6$ -Si-O<sub>3/2</sub> axis generated at the carbon bearing the silicon (*i.e.*, at the presumed center of the substituent-induced transition dipole) by the static dipoles associated with the other  $C_6H_5SiO_{3/2}$  groups in the molecule, the approximation was made that the dipoles could be treated as if of infinitesimal dimension. This allowed use of the relation

$$E_{ij} = \mu_j \sum_{j=i} G_{ij}$$
(8)

where  $E_{ij}$  represents the field generated at i by the N dipoles of magnitude  $\mu_i$ , and  $G_{ij}$  is defined as in eq. 6, with  $\mathbf{e}_i$  and  $\mathbf{e}_j$  representing unit vectors in the direction of the field and dipoles j, respectively.

The major uncertainty involved in the calculation of the field from such a relation is that the effective center of the dipole associated with an individual C6H5SiO3/2 unit cannot be located precisely. It seems reasonable to presume, however, that such a center lies somewhere between the Si and C atoms on the main  $C_{6}H_{5}Si$  axis. Accordingly, calculations of the  $\Sigma G_{11}$  terms were carried out first on the assumption that the dipoles were centered at the silicon atoms and, second, that they lay at the adjacent carbons. The first assumption, applied to the phenylsilsesquioxane octamer, decamer, dodecamer, and "ladder" polymer, yielded values 1.92, 1.86, 1.84, and  $0.71 \times 10^{23}$  cm.<sup>-3</sup>, respectively. The second gave 0.40, 0.46, 0.51, and  $0.29 \times 10^{23}$  cm.<sup>-3</sup>. This indicated that the location of the dipole centers had a large effect upon the absolute values of the  $\Sigma G_{ij}$ , but only a modest effect on the relative values. The best estimates of the relative  $\Sigma G_{ij}$  values which can be made at the moment are probably the geometrical means of the above extremes, namely, 0.88, 0.92, 0.94, and  $0.45 \times 10^{23}$  cm.<sup>-3</sup>.

Presuming that the dipole moment  $\mu_i$  amounts to about 1 Debye unit with the oxygen end of the dipole negative, this indicates the presence of intramolecular fields of the order of  $3 \times 10^7$ volts/cm. at the  $\alpha$ -carbons in the (PhSiO<sub>3/2</sub>)<sub>8-12</sub> species, and a field about half as great in the ladder structure. These fields are in the same direction as the substituent-induced transition dipole, and hence would be expected to suppress  $f_{\rm q}$  and q. Calculations also showed that the endothermicity of the decameric and dodecameric species relative to the ladder structure, which may be estimated at 2–4 kcal./mole from data like that in Table II of ref. 17, could be entirely accounted for by the energy of intramolecular electrostatic repulsion resulting from fields of this magnitude.

## **Results and Discussion**

**Monophenylsilicon Compounds.**—The 200–300 mµ ultraviolet spectra of silanes and siloxanes carrying one phenyl group per silicon were observed to be very similar to those of the monoalkylbenzenes and other  $C_6H_5X$  species where X has only a modest conjugative ability. Typical spectra are shown in Fig. 3 and in ref. 3. In chloroform or tetrahydrofuran solution the vibrational structure was well enough resolved so that the major peaks of each band system<sup>17</sup> could be recognized. Those of the vibrationally-induced system appeared near 266, 260, 254, and 248 mµ and were most prominent in the weaker spectra, such as those of  $C_6H_5SiMe_3$  or  $C_6H_5Si(OCH_2CH_2)_3N$ . The major peaks

of the substituent-induced system lay near 270, 264, 258, and 253 m $\mu$  and were most prominent in the stronger spectra, such as those of the phenylsilsesquioxanes. The superposition of the two systems gave

<sup>(24)</sup> Steric interactions between the phenyl groups limit the permissible rotations around the Si-Ph axes to short ranges about the means assumed in the idealized models. Since the  $d\pi$ -p $\pi$  bonding between the silicons and phenyls would not restrict rotation around the Si-Ph bonds (see D. P. Craig, A. Maccoll, R. S. Nyholm, L. E. Orgel, and L.E. Sutton, J. Chem. Soc., 332, 354 (1954)), and since there is no evidence for Ph-Ph bonding interactions in these systems, it seems likely that the mean positions of the phenyl groups actually do lie in the centers of the sterically permitted ranges.

the observed spectra, which generally showed peaks near 270, 264, 259, and 253 m $\mu$ , and shoulders near 247.5 and 266 m $\mu$ .

The resolution of the total integrated absorption intensity into the components  $f_v$  and  $f_q$ , accomplished by analysis of the relative peak heights, gave the results shown in Table II. The practicability of such a resolution (Fig. 1, 2) indicated that all of the observed variations in the absolute and relative heights of the peaks at 270, 259, and 253 m $\mu$  could be attributed to variations in the absolute and relative values of  $f_v$  and  $f_q$ .

The calculated effects of polarizability interactions on  $f_v$  and  $f_q$  are shown in Table III. These showed

## TABLE III

Calculated Per Cent Hypochromism<sup>a</sup> in <sup>1</sup>L<sub>b</sub> Transition Components of PhenylSilsesquioxanes

		Component	
Compound	Assumed structure	$f_{\mathbf{v}}$	$f_{\mathbf{q}}$
(PhSiO <sub>3/2</sub> ) <sub>8</sub>	Cube	0	-0.8
(PhSiO <sub>3/2</sub> ) <sub>10</sub>	Pentagonal prism	1	2.3
(PhSiO <sub>3/2</sub> ) <sub>12</sub>	Hexagonal prism	2	4.7
$(\mathrm{PhSiO}_{3/2})_n$	''Ladder''	9	9.0

<sup>*a*</sup> Reduction in absorption intensity of polymer relative to that of monomer (*e.g.*, isolated PhSiO<sub>3/2</sub> unit).

that the effect of such interactions was hypochromic in all cases except for the  $f_q$  component of  $(C_6H_5SiO_{3/2})_8$ , where a weak hyperchromism was indicated. The values of phenylsilsesquioxane  $f_v$  and  $f_q$  corrected for hypochromism or hyperchromism are given in Table IV, along with the values of the substituent constant  $q^{17}$  and comparative values of  $f_v$ ,  $f_q$ , and q for some other phenylsilanes and nonsilicon<sup>17</sup> C<sub>6</sub>H<sub>5</sub>X species.

#### TABLE IV

Corrected<sup>a</sup> Values for <sup>1</sup>L<sub>b</sub> Intensity Parameters in Phenylsilanes, Phenylsiloxanes, and Other Monosubstituted Benzenes

Compound	$f_{\rm v}$ $ imes$ 104	$f_{\rm q}$ $ imes$ 104	$q (cm. \times 10^{10})$		
A. Tetracoordinated silicon compounds					
PhSiMe₃	18.9	9.6	-4.9		
$PhSiMe(OSiMe_3)_2$	19.3	17.2	-6.5		
PhSi(OSiMe_)。	18.9	19.3	-6.9		
(PhSiO <sub>3/2</sub> ) <sub>8</sub> , m. 500°	19.1	31.0	-8.8		
(PhSiO <sub>3/2</sub> ) <sub>10</sub> , m. 418°	19.1	31.0	-8.8		
(PhSiO <sub>3/2</sub> ) <sub>12</sub> , m. 385°	19.0	29.9	-8.6		
(PhSiO <sub>3/2</sub> ) <sub>n</sub> , "ladder"	19	35.1	-9.4		
B. Pentacoordinated silicon compounds					
PhSi(OCH <sub>2</sub> CH <sub>2</sub> ) <sub>3</sub> N	20.6	10.7	-5.2		
C. Other $C_{6}H_{5}X's^{17}$					
PhOH	$(20)^{b}$	154	+20		
PhF	17	70	+12.5		
PhCl	18	12	+6.0		
PhMe	21	10	+5.0		
PhH	16.4	0.0	0.0		
PhNC	$(20)^{b}$	15	-6		
PhCF <sub>3</sub>	20	33	-9		
PhCN	$(20)^{b}$	52	-11		

<sup>*a*</sup> For hypochromism due to polarizability interactions between different phenyl groups in the same molecule. <sup>*b*</sup> Assumed value used in estimating  $f_q$ .

The  $f_{\rm v}$  values listed in Table IV show that ordinary, tetracoordinated monophenylsilanes and siloxanes have  $f_{\rm v}$  values of 19.1  $\pm$  0.2  $\times$  10<sup>-4</sup>. This value is in the middle of the range 17–21  $\times$  10<sup>-4</sup> which has been observed for other C<sub>6</sub>H<sub>5</sub>X species. Turning to Table II



Fig. 4.—Plot of the transition moment parameter q against relative values of intramolecular electrostatic field for four phenylsilsesquioxanes. The relative field is expressed as calculated  $\Sigma G_{ij}$  values in units of  $10^{23}$  cm.<sup>-3</sup>.

with this figure, we find an increasing hypochromism in  $f_v$  as we descend the series from  $(PhSiO_{3/2})_8$  to  $(PhSiO_{3/2})_{12}$  to the "prepolymers" to the phenylsilsesquioxane "ladder" high polymer. In the last case, the decline in  $f_v$  to 17.4  $\times$  10<sup>-4</sup> corresponds to a hypochromism of 9%, which agrees with that calculated and listed in Table III.

Examination of the  $f_q$  and q values listed in Table IV shows that silicon always behaves as an electron-withdrawing substituent on benzene undergoing transition to the <sup>1</sup>L<sub>b</sub> state. Presumably, this occurs because of increased  $d\pi - p\pi$  bonding between the aromatic ring and the silicon in the <sup>1</sup>L<sub>b</sub> state. As expected, the electron-withdrawing power of the silicon substituents increases as the alkyl groups are replaced by siloxys. The -SiO<sub>3/2</sub> substituent in phenylsilsesquioxanes has an electron-withdrawing power comparable to -CF3 when measured by the q-value for the  ${}^{1}L_{b}$  transition. This electron-withdrawing power is also reflected in its effects on ground-state benzene. We have observed that the phenylsilsesquioxanes are completely resistant to the Ph-Si bond cleavages by halogens and strong acids which are characteristic of the phenyltrialkylsilanes; instead, they undergo slow m-substitution when treated with HNO<sub>3</sub>-H<sub>2</sub>SO<sub>4</sub> mixtures.

The variations in  $f_q$  and q among the phenylsilsesquioxanes are of some interest, particularly in the group  $(PhSiO_{3/2})_{10}$ ,  $(PhSiO_{3/2})_{12}$ , and  $(PhSiO_{3/2})_x$  "ladder" polymer. Here, the calculated bond angles and the conformation angles within PhSi(OSi)<sub>3</sub> units are almost identical (with the values for "ladder" falling between those of the decamer and dodecamer) so that it is not possible to invoke alleged differences in the  $d\pi - p\pi$ bonding pattern within the siloxane backbone as bases for the variations in q. Instead, it appears that the fields generated by the dipoles of the other  $PhSiO_{3/2}$ units in the molecules are responsible. Qualitatively, such field effects would be expected to be similar in nature and magnitude to those produced by varying the substituents on the silicon. We were not able to calculate the absolute magnitude of such field effects upon q; however, it was possible to calculate the relative fields expected in the different silsesquioxane structures, and to make the comparison of these with q shown in Fig. 4. This shows that q does decline in proportion to the calculated field, and also that the  $-SiO_{3/2}$  should



Fig. 5.—Ultraviolet spectra of  $(Ph_2SiO)_4$ , solid curve, and  $(PhSiO_{3/2})_{8}$ , dotted curve.

behave as an even more electronegative substituent on  ${}^{1}\mathrm{L}_{b}$  benzene if a structure could be devised where the adverse field effects of adjacent siloxane units were absent.

The  $\lambda_0$  values listed in Table II show that only barely measurable variations exist among the different These variations monophenylsilicon compounds. could not be ascribed to induced dipole-dipole interactions. Calculations made with Tinoco's equation A15<sup>13</sup> showed that the hypsochromism arising from such interactions should be immeasurably small. Nor could any obvious relation between  $\lambda_0$  and q be found. It was noted, however, that the species in which the  $C_6H_5$  chromophores were more crowded by each other or by other siloxane groups tended to show  $\lambda_0$  at slightly longer wave lengths than those in which the C<sub>6</sub>H<sub>5</sub>'s were freely exposed to the medium. The shifts observed were comparable to those produced by changing from one hydrocarbon solvent to another,25 and it seems likely that they resulted simply from variations in the dispersion forces exerted on the chromophores by their local environments.<sup>26</sup>

Our conclusion from the foregoing data and analysis

(25) N. D. Coggeshall and A. Pozefsky, J. Chem. Phys., 19, 980 (1951).
(26) H. C. Longuet-Higgins and J. A. Pople, *ibid.*, 27, 192 (1957).

is that in molecular systems containing two or more proximate but nonbonded phenyl chromophores-e.g., in polyphenylated siloxanes, alkanes, ethers, etc., having at least two saturated atoms between the phenylsthe spectral variations in wave length are insignificant, but the variations in peak intensity and intensity distribution are sizable, are capable of characterization in terms of the physical constants  $f_v$  and  $f_q$ , and are susceptible to detailed interpretation in terms of intramolecular polarizability and polarization effects. One implication of this conclusion is that the <sup>1</sup>L<sub>b</sub> ultraviolet spectra of such polyphenylated species should be of considerable utility in defining their molecular configurations. Thus, for any proposed configuration, it should be possible to estimate the magnitude of the polarizability and polarization effects according to eq. 5-8, and then to check such estimates against the observed departures of  $f_{\rm v}$  and  $f_{\rm q}$  from their values in structural analogs having isolated phenyl groups.

Diphenylsilicon Compounds.—The 200–300 m $\mu$  ultraviolet spectra of silanes and siloxanes carrying two phenyl groups per silicon were found to be very similar to those of diphenylmethane<sup>7</sup> and the higher [1.n]-paracyclophanes<sup>9</sup>; *i.e.*, they showed to a small degree the effects which become marked in the lower [1.n]-paracyclophanes and which are believed to arise from  $2p\sigma$ -bonding between the two benzene rings.<sup>8.27</sup> A typical spectrum is shown in Fig. 5; spectra of some Ph<sub>3</sub>SiX species, which are very similar, are given in ref. 2 and 4.

As illustrated in Fig. 5, the appearance of the spectra differs from those of the monophenylsilicon compounds in four respects. First, the  ${}^{1}L_{b} \lambda_{0}$  is shifted to longer wave lengths by about  $1 \text{ m}\mu$ . Second, the edge of the <sup>1</sup>L<sub>e</sub> rise is shifted to longer wave lengths by about  $3 \text{ m}\mu$ , thus moving the absorption minimum from 239-240 to  $242-243 \text{ m}\mu$ . Third, the over-all intensity is increased; e.g., the whole spectrum of  $Ph_2Si(OSiMe_3)_2$  is stronger than that of  $PhSiMe(OSiMe_3)_2$ , even making allowance for the presence of two phenyl groups rather than one. Fourth, the distribution of intensity is altered, becoming stronger at the short wave length end of the system and weaker near  $\lambda_0$ . This change in intensity distribution occurs in both the substituent-induced and the vibrationally induced intensity components (Table I). This indicates that the excited state carbon-carbon distances are slightly greater in the diphenylsilane derivatives than in the monophenylsilicon species. All four of these visible changes represent the expected consequences of  $2p\sigma$  (or other) bonding interactions between the rings.

The resolution of the observed oscillator strengths into the components  $f_v$  and  $f_q$  could not be carried out with as high precision as with the monophenylsilicon compounds, probably because of the effects of the configuration-sensitive bonding interactions upon the fvalues and the intensity distributions. However, the values obtained and listed in Table I are still believed to be reliable to 2–3% in most cases.

Examination of the  $f_v$  values listed in Table V immediately reveals a drop to about half the value per phenyl ring for the monophenylsilicon species. In other words, the  $f_v$  per monomer unit is about the same in the diphenylsiloxanes as in the monophenylsiloxanes,

(27) P. D. Bartlett and E. S. Lewis, J. Am. Chem. Soc., 72, 1005 (1950).

despite the presence of two benzene rings rather than one. We offer no explanation for this observation.

The variations among the  $f_v$ 's listed in Table V are probably not outside the range of experimental uncertainty except in the case of the linear diphenylsiloxane copolymers, where the low value, indicative of an 8–10% hypochromism in  $f_v$ , was confirmed repeatedly. Presumably, even more hypochromism should be present in linear polydiphenylsiloxane itself; however, that material is a highly crystalline, insoluble polymer which cannot be put into solution for study.

## TABLE V

Wave Lengths and Intensities (per Phenyl Group) of  $^1L_b$  Transitions in Diphenylsiloxanes in Chloroform at  $25^\circ$ 

0	λ₀,	$f_{\rm V}$	$f_q$	Devn. <sup>b</sup>	q + q' (cm.
Compound-	$\mathbf{m}\mu$	X 10*	X 104	X 10*	X 1010)
Me <sub>3</sub> SiO(Ph <sub>2</sub> SiO)SiMe <sub>3</sub>	270.0	10.1	32.0	+0.4	-8.9
Me <sub>3</sub> SiO(Ph <sub>2</sub> SiO) <sub>2</sub> SiMe <sub>3</sub>	270.0	10.1	32.5	+ .3	-9.0
Me <sub>3</sub> SiO(Ph <sub>2</sub> SiO) <sub>3</sub> SiMe <sub>3</sub>	270.0	10.1	33.9	. 0	-9.2
$(Ph_2SiO_2, Me_2SiO)_n$ ,					
$\bar{n} > 500^{\circ}$	271.0	9.1	$36.7^{d}$	+ .3	$-9.6^{d}$
$(Ph_2SiO)_2(Me_2SiO)$	270.5	10.1	40.6	+ .5	-10.0
(Ph <sub>2</sub> SiO) <sub>3</sub>	271.0	9.3	41.5	+ .6	-10.2
$(Ph_2SiO)_3(Me_2SiO)$	270.5	10.2	36.4	2	-9.5
$(Ph_2SiO)_4$	271.0	9.9	37.1	+ .9	-9.6
$(Ph_2SiO)_3(Me_2SiO)_2$	270.5	10.1	33.3	7	-9.1
$(Ph_2SiO)_4(Me_2SiO)_2$	271.0	9.9	34.2	+ .4	-9.2

<sup>*a*</sup> Compounds further identified in Experimental section. <sup>*b*</sup> Deviation of observed value of *f* from calculated  $f_v + f_q$ . <sup>*c*</sup> Linear high copolymers containing 67–75 mole % Ph<sub>2</sub>SiO. <sup>*d*</sup> If corrected for hypochromism due to interactions between phenyls of different Ph<sub>2</sub>SiO units,  $f_q$  and q + q' would rise to  $40.3 \times 10^{-4}$  and  $10.0 \times 10^{-10}$  cm., respectively, assuming hypochromism in  $f_q$  to be same as that in  $f_v$ . Hypochromic correction would probably be very small for the other  $f_q$  values tabulated.

The observation of hypochromism in these linear polymers indicates considerable nonrandomness in the local spatial interrelationships among the chromophoric groups. This was confirmed by studies of the siloxane chain vibrations. The coupled vaSiOSi (SiOSi asymmetric stretching) chain vibrational bands in the spectra of the diphenylsiloxane copolymers in solution were as sharp as those in crystalline polydiphenylsiloxane, and their positions suggested an extended simple helix having 2-3 siloxane units per turn rather than the less orderly mean configuration indicated for polydimethylsiloxane.<sup>28</sup> The basis for this configurational order in linear polydiphenylsiloxanes is believed to be steric hindrance. The bulky phenyl groups are densely spaced along the chain and must greatly restrict the opportunities for configurational randomization.

Examination of the diphenylsiloxane  $f_q$  values (Table V) shows that they are generally larger than those of the monophenylsilicon compounds (Tables II and IV). It is believed that this is the result of the bonding interaction between phenyls. Accordingly, the transition

moment parameters calculated from these  $f_q$  values were designated q + q', where q represents the ordinary substituent constant analogous to those listed in Table IV, and q' represents the contribution to the moment resulting from phenyl-phenyl bonding interactions.

In order to evaluate q', a comparison was made between Ph<sub>2</sub>Si(OSiMe<sub>3</sub>)<sub>2</sub> and PhMeSi(OSiMe<sub>3</sub>)<sub>2</sub>, for which the q-values should be virtually identical. The observed  $f_q$  for Ph<sub>2</sub>Si(OSiMe<sub>3</sub>)<sub>2</sub> was 32.0  $\times$  10<sup>-4</sup>, or 30.2  $\times$  10<sup>-4</sup> after correcting for the 6% calculated hyperchromism due to polarizability interaction between the two phenyls. This yielded a corrected q + q' of -8.7 $\times$  10<sup>-10</sup> cm. The *q* observed for PhMeSi(OSiMe<sub>3</sub>)<sub>2</sub> was  $-6.5 \times 10^{-10}$ . The difference,  $-1.2 \times 10^{-10}$ cm., represents q'. To set this value in perspective, we may note that if  $2p\sigma$ -bonding were fully established in the <sup>1</sup>L<sub>b</sub> state, q' would be about  $\pm 500 \times 10^{-10}$  cm. (roughly estimated as the distance between the centers of the rings). Thus, although the spectral data  $(\lambda_{0}$ shifts, change in intensity distribution, increase in  $f_{q}$ ) provide definite evidence for bonding interactions between phenyls attached to the same silicon, the degree of such bond formation is very small.

The variations among the diphenylsiloxane  $f_q$  and q values (Table V) could not be analyzed as precisely as those of the monophenylsiloxanes because of the lower precision of the values themselves, the possibility of small variations in the q' terms, and the absence of corrections for hypochromic interactions between different diphenylsiloxane units. However, two points do seem significant.

First, it was noted that the two cyclotrisiloxanes examined showed quite similar  $f_q$ 's, as did also the two cyclotetrasiloxanes. This type of behavior has been previously recognized in the very similar  $\epsilon_{max}$  per phenyl values of a considerable series of partially phenylsubstituted cyclotrisiloxanes and cyclotetrasiloxanes,<sup>29</sup> and for some linear disiloxanes and tetrasiloxanes as well.<sup>4</sup> Evidently, the major factor determining  $f_q$  and q is something associated with the structure of the siloxane skeleton itself rather than an interaction between the phenyl chromophores.

Second, the uncorrected  $f_q$ 's for monocyclics having 3, 4, 5, 6, and  $\infty$  units per ring were noted to be about 41, 37, 33, 34, and 37  $\times 10^{-4}$ , respectively. (Correction for hypochromism would probably do little more than to raise the last value 3-4 units relative to the others.) This variation in  $f_q$  seems to parallel the probable variation in mean angles between the twofold symmetry axes of adjacent Ph<sub>2</sub>SiO<sub>2/2</sub> units, which must at first decrease with increasing ring size and then increase as greater puckering becomes possible.<sup>30</sup> Since the electrostatic fields produced at the chromophores by the diphenylsiloxane or dialkylsiloxane units should vary approximately as the angles between these axes, it is probable that a field effect is again responsible for the variations in  $f_q$  and q.

<sup>(28)</sup> J. F. Brown, Jr., American Chemical Society Division of Polymer Chemistry Preprints, Vol. 2, 1961, No. 2, p. 112.

<sup>(29)</sup> C. R. Sporck and A. Coleman, to be published.

<sup>(30)</sup> L. K. Frevel and M. J. Hunter, J. Am. Chem. Soc., 67, 2275 (1945)